

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

YAJIMA

Serial No. 09/997,234

Filed: November 30, 2001

Title: SYSTEM, APPARATUS, AND METHOD FOR ISSUING RECEIPTS  
AND PROVIDING ADVERTISING

Assistant Commissioner for Patents  
Washington, DC 20231



Atty Dkt. 249-241  
C#/M#

Group Art Unit: 2163

Examiner: Not Yet Assigned

Date: April 16, 2002

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APR 17 2002  
Technology Center 2100

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of the reference(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ This Information Disclosure Statement ("IDS") is being filed within three (3) months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ This IDS is being filed more than three (3) months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR§1.56(c) more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(2).
- ☐ c. Attached is a check in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Acct No. 14-1140 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.
- ☐ This IDS is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Attached is our check in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 14-1140 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.
- ☐ a. I hereby certify that each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR§1.56(c) more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(2).
- ☐ Relevance of the non-English language reference(s) is discussed in the present specification.
- ☐ The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☐ A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix hereto.
- ☐ The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
- ☐ Copies of the references were cited by or submitted to the Office in parent Application No. \_\_\_\_\_ (filed \_\_\_\_\_), which is relied upon for an earlier filing date under 35 U.S.C. 120. Thus, Form PTO1449 is attached without copies of these references. 37 C.F.R.1.98(d).
- ☐ Other.

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By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: Alan M. Kagen

**INFORMATION DISCLOSURE  
CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

249-241

APPLICANT

YAJIMA

FILING DATE

November 30, 2001

SERIAL NO.

09/997,234

GROUP

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,616,929	4/1997	Hara			
	5,975,665	11/1999	Torigoe et al.			
	5,997,121	12/1999	Altfather et al.			
	6,012,795	1/2000	Saito et al.			
	6,137,503	10/2000	Hashimoto et al.			
	6,234,603	5/2001	Altfather et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
		0 573 274	12/1993	Europe				
		0 626 267	11/1994	Europe				
		0 706 888	4/1996	Europe				
		0 779 156	6/1997	Europe				
		0 860 284	8/1998	Europe				
		0 881 080	12/1998	Europe				
		0 955 169	11/1999	Europe				
		5-332812	12/1993	Japan			**below	
		6-115089	4/1994	Japan			Abstr.	
		7-164626	6/1995	Japan			**below	
		7-218321	8/1995	Japan			**below	
		7-311072	11/1995	Japan			Abstr.	
		8-43174	2/1996	Japan			Abstr.	
		8-112907	5/1996	Japan			**below	
		9-174877	7/1997	Japan			**below	
		10-24604	1/1998	Japan			Abstr.	
		10-230616	9/1998	Japan			Abstr.	
		10-323993	12/1998	Japan			**below	
		2000-43282	2/2000	Japan			Abstr.	
		2000-71471	3/2000	Japan			Abstr.	

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

Altfather et al., U.S. Patent Application Publication No. US 2001/0035887 A1, published November 1, 2001.

*Examiner	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

- \*\*JP 5-332812 corresponds to EP 0 881 080, EP 0 573 274 and US 6,012,795
- \*\*JP 7-164626 corresponds to EP 0 955 169, EP 0 626 267 and US 6,137,503
- \*\*JP 7-218321 corresponds to US 5,616,929
- \*\*JP 8-112907 corresponds to EP 0 706 888 and US 5,975,665
- \*\*JP 9-174877 corresponds to EP 0 779 156, US 5,997,121, US 6,234,603 and US 2001/0035887
- \*\*JP 10-323993 corresponds to EP 0 860 284